

Business Continuity Plan

In the wake of the disastrous events of September 11, 2001, the securities industry showed an impressive ability to recover and continue their business operations. Given the events of this period, regulators examined the industry's recovery capability in greater detail to determine whether any regulatory action was needed to assure swift recovery in the event of any future significant business disruptions.

Nationwide Securities, LLC ("NSLLC") has created a business continuity plan ("Plan") to address the possibility of a future significant business disruption and to plan its response for a timely recovery to disruptive events of varying scope. The Plan covers back-up and recovery of data, critical business systems, and facilities; customer access to their accounts; and, communication with customers, employees, regulators and critical business relationships. This notice is to provide customers of NSLLC with summary information about the Plan.

In the event of a disruption to NSLLC's principal office location or the accompanying business district, such as by fire, tornado or chemical spill for example, NSLLC will continue business by activating its back-up facilities in a geographically separate location from primary systems and records. It is anticipated that business processing will be recovered within twenty-four (24) hours. For information and access related to existing accounts, customers are directed to contact the specific product providers at the phone number or website address included in the customers' account statement. If an account statement is not available, customers should contact their NSLLC registered representative.

Recovery from broader disruptions, such as a citywide or regional event, is expected within 24 to 72 hours. For information and access to existing accounts during such event, customers are again directed to the specific product providers at the phone number or website address included in the customers' account statement. If an account statement is not available, customers should contact their NSLLC registered representative.

The NSLLC Plan is reviewed annually and subject to modification. Customers may obtain an updated Plan by requesting a written copy by mail.